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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/995,913	11/28/2001	Toshiyuki Nakagawa	1232-4789	1570
27123	7590	08/25/2005	EXAMINER	
MORGAN & FINNEGAN, L.L.P. 3 WORLD FINANCIAL CENTER NEW YORK, NY 10281-2101			HANG, VU B	
			ART UNIT	PAPER NUMBER
			2622	

DATE MAILED: 08/25/2005

Please find below and/or attached an Office communication concerning this application or proceeding.

**Office Action Summary**

Application No.

09/995,913

Applicant(s)

NAKAGAWA, TOSHIYUKI

Examiner

Vu B. Hang

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-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

**Period for Reply**

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 6 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

**Status**

- 1) ☐ Responsive to communication(s) filed on 28 November 2001.
- 2a) ☐ This action is **FINAL**. 2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

**Disposition of Claims**

- 4) ☒ Claim(s) 1-16 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 1-16 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

**Application Papers**

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☒ The drawing(s) filed on 28 November 2001 is/are: a) ☒ accepted or b) ☐ objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

**Priority under 35 U.S.C. § 119**

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some \* c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).
- \* See the attached detailed Office action for a list of the certified copies not received.

**Attachment(s)**

- 1) ☒ Notice of References Cited (PTO-892)
- 2) ☐ Notice of Draftsperson's Patent Drawing Review (PTO-948)
- 3) ☐ Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08)  
Paper No(s)/Mail Date \_\_\_\_\_.
- 4) ☐ Interview Summary (PTO-413)  
Paper No(s)/Mail Date. \_\_\_\_\_.
- 5) ☐ Notice of Informal Patent Application (PTO-152)
- 6) ☐ Other: \_\_\_\_\_.

***Information Disclosure Statement***

The information disclosure statement filed on 11/30/200 fails to comply with the provisions of 37 CFR 1.97, 1.98 and MPEP § 609 because the PTO-149 form is missing. It has been placed in the application file, but the information referred to therein has not been considered as to the merits. Applicant is advised that the date of any re-submission of any item of information contained in this information disclosure statement or the submission of any missing element(s) will be the date of submission for purposes of determining compliance with the requirements based on the time of filing the statement, including all certification requirements for statements under 37 CFR 1.97(e). See MPEP § 609 ¶ C (1).

***Claim Rejections - 35 USC § 103***

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

Claims 1, 2, 3, 4, 5, 7, 8, 9, 10, 11, 12 and 14 are rejected under 35 U.S.C. 103(a) as being unpatentable over Matsui et al (US Patent 6,539,054 B1).

Regarding **Claim 1**, Matsui discloses a data processing apparatus for decoding and reproducing object data separated from a coded bit stream including at least object data of moving image and audio, based on first time information for synchronization management of the moving image and audio included in the object data (see Fig.1 and Col.1, Line 29-33), with the data

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processing apparatus comprising: a time information acquiring means for acquiring second time information for synchronization management of the moving image and audio (see Fig.1 (11) and Col.21, Line 47-54); a setting means for setting second time information acquired by the time information acquiring means (see Fig.1 (11), Col.10, Line 25-30 and Col.21, Line 47-54); and a decoding means for decoding the object data using the second time information (see Fig.11(11b) and Col.10, Line 32-36). Matsui, however, fails to expressly disclose a means for acquiring second time information based on the speed conversion request from outside.

From Fig.1, Matsui discloses that the bit stream is inputted into the apparatus from a network medium. This suggests that outside user interactions or requests can be incorporated into the apparatus. Since the speed of the data object conversion is essential to the image reproduction process, it obvious for one skilled in the art to allow an outside source to input the speed conversion data into the apparatus. It is known in the art that a user can request the speed at which the user wants to scan through a digital data component. For example, a user request, through a remote control, the speed at which the user wants to scan through a DVD disc (slow motion, or fast forwarding).

Regarding **Claims 2 and 9**, Matsui further discloses that the coded bit stream includes a bit stream based on MPEG-4 (see Col.2, Line 16-27).

Regarding **Claims 3 and 10**, Matsui further discloses that the object data of audio includes data coded by high efficiency compression coding according to

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a coding method having a reproduction speed conversion (see Col. 1, Line 29-30 and Col.2, Line 16-27).

Regarding **Claims 4 and 11**, Matsui further discloses a means for extracting the first time information from an access unit of the object data fed into a buffer for decoding target data (see Fig.1 (11) and Col.21, Line 47-64).

Regarding **Claims 5 and 12**, Matsui further discloses that the decoding means of data objects has a reproduction speed conversion function (see Col. 1, Line 29-30 and Col.12, Line 47-51).

Regarding **Claims 7 and 14**, Matsui further discloses a notifying means for notifying the decoding means for the object data of audio, of information from an outside source (see Fig.1 and Col.5, Line 23-38).

Regarding **Claim 8**, Matsui discloses a data processing method for separating and decoding a bit stream including object data of one or plural coded moving image and audio, in units of the object data, compositing the one or plural object data thus decoded, and outputting the result of composition (see Fig.1 and Col.1, Line 29-33), with the data processing method comprising: an extraction step of specifying and extracting an area of first time information for synchronization management of the moving image and audio from the object data (see Fig.1 (11) and Col.21, Line 47-54); a setting step of calculating second time information for synchronization management of the moving image and audio and setting the second time information as the first time information (see Fig.18 (1162b), Col.10, Line 25-30 and Col.21, Line 47-54); and a decoding step of decoding the object data based on the second time information (see

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Fig.18(1161b) and Col.10, Line 32-36) . Matsui however fails to expressly disclose that calculating the second time information for synchronization management of the moving image and audio is based on the speed conversion request from the outside.

From Fig.18, Matsui discloses that the bit stream is inputted into the apparatus from a network medium. This suggests that outside user interactions or requests can be incorporated into the method. Since the speed of the data object conversion is essential to the image reproduction process, it obvious for one skilled in the art to allow an outside source to input the speed conversion data into the method. It is known in the art that a user can request the speed at which the user wants to scan through a digital data component. For example, a user request, through a remote control, the speed at which the user wants to scan through a DVD disc (slow motion, or fast forwarding).

Claims 6 and 13 is rejected under 35 U.S.C. 103(a) as being unpatentable over Matsui et al (US Patent 6,539,054 B1) in view of (Kato (US Patent 6,584,125 B1).

Regarding **Claims 6 and 13**, Matsui further discloses that the time information includes a Decoding Time Stamp (see Col.21, Line 55-64) but fails to expressly disclose that the time information includes a Composition Time Stamp. Kato, however, discloses that the time information includes a Composition Time Stamp (see Col.2, Line 40-44).

Matsui and Kato are combinable because they are from the same field of endeavor, namely image reproduction apparatus. At the time of the invention, it

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would have been obvious for one skilled in the art to include the Composition Time Stamp in the time information of data objects. It is known in the art that the time stamps for decoding are used for "interpolative prediction" and that the time stamps for data object composition are used to represent the timing at which decoded data objects can be multiplexed. It is also known in the art that the decoding time stamp and the composite time stamp are used together to determine how reproduced image data are displayed. Therefore, it is obvious for one skilled in the art to include both time stamps in the time information of the image and audio data objects.

**Claim 15** recites identical features as Claim 8 except Claim 15 is a computer program. Thus, arguments similar to that presented above for Claim 8 is equally applicable to Claim 15 because without a computer program, the method taught by Matsui et al. and Kato and the cited rejection of Claim 8 could not function.

**Claim 16** recites identical features as Claim 8 except Claim 16 is a computer readable medium. Thus, arguments similar to that presented above for Claim 8 is equally applicable to Claim 16 because without a computer readable medium to store a program that makes it possible for the method or apparatus to operate, the method taught by Matsui et al. and Kato and the cited rejection of Claim 8 could not function.

***Claim Rejections - 35 USC § 101***

35 U.S.C. 101 reads as follows:

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Whoever invents or discovers any new and useful process, machine, manufacture, or composition of matter, or any new and useful improvement thereof, may obtain a patent therefor, subject to the conditions and requirements of this title.

**Claim 15** is rejected under 35 U.S.C. 101 because the claimed invention is directed to non-statutory subject matter. Claim 26 is drawn to non-functional descriptive material. MPEP 2106.IV.B.1 (a) (Nonfunctional Descriptive Material) states:

“Descriptive material that cannot exhibit any functional interrelationship with the way in which computing processes are performed does not constitute a statutory process, machine, manufacture or composition of matter and should be rejected under 35 U.S.C. 101.”

“Where certain types of descriptive material, such as music, art, photographs and mere arrangements or compilations of facts or data, are merely stored so as to be read or outputted by a computer without creating any functional interrelationship, either as part of the stored data or as part of the computing process performed by the computer, then such descriptive material alone does not impart functionality either to the data as so structured, or to the computer.”

“For example, music is commonly sold to consumers in the form of a compact disc. In such cases, the know compact disc acts as nothing more than a carrier for nonfunctional descriptive material. The purely nonfunctional descriptive material cannot alone provide the practical application for the manufacture.”

MPEP 2106.IV.B.1 (Nonstatutory Subject Matter) states:

“When nonfunctional descriptive material is recorded on some computer-readable medium, it is not statutory since no requisite functionality is present to satisfy the practical application requirement”.

**Claim 15** currently recites “a computer program”. There is no functional relationship imparted by this data to a computing device. Therefore, the claim is drawn to non-functional descriptive material, which is non-statutory per se. The



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fact that the claim recites a computer readable medium does not provide the utility (i.e., practical application in the technological arts) required under 35 U.S.C. 101 for the manufacture.

### **Conclusion**

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Vu B. Hang whose telephone number is (571)272-0582. The examiner can normally be reached on Monday-Friday, 9:00am - 6:00pm.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Edward L. Coles can be reached on (571)272-7402. The fax phone number for the organization where this application or proceeding is assigned is 703-872-9306.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

Vu Hang  
Assistant Examiner

*Vu Hang 08/20/2005*

*Joseph R. Pokrzywa*  
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PRIMARY EXAMINER  
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